

05-08-02

CPA 11700 \$



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**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX,
if applicable:
☐ DUPLICATE

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2/16
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ADDRESS TO: Box CPA Commissioner for Patents Washington, D.C. 20231	Attorney Docket No.	INK-046
	First Named Inventor	Jacobson
	Examiner Name	B. Talbot
	Group Art Unit	1762

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),
(continued prosecution application (CPA)) of prior application number 09/349,806,
filed on July 8, 1999, entitled METHODS FOR ACHIEVING IMPROVED COLOR IN MICROENCAPSULATED
ELECTROPHORETIC DEVICES.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is (1) a utility or plant application that was filed under 35 U.S.C. 111(a) before May 29, 2000, and is complete as defined by § 1.51(b); (2) a design application that is complete as defined by § 1.51(b); or (3) a national stage of an international application that was filed under 35 U.S.C. 363 before May 29, 2000, and is in compliance with 35 U.S.C. 371. A notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C., 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

- ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:


 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto
- ☐ A new power of attorney or authorization of agent is enclosed.
- ☐ Information Disclosure Statement (IDS) is enclosed:
 - ☐ PTO-1449
 - ☐ Copies of IDS Citations

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	(1) CLAIMS	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	8 - 20 =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 - 3 =	0	x \$ 80 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
				Total of above Calculations =	\$ 740.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 370.00
				TOTAL =	\$ 370.00

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6. ☒ Small entity status:
- a. ☐ Applicant claims small entity status.
- b. ☒ was established in the prior application and is still proper and desired.
- c. ☐ is no longer claimed.
7. ☒ A check in the amount of \$370.00 is enclosed.
8. ☒ The Commissioner is hereby authorized to credit overpayments or charge any additional fees required under 37 C.F.R. 1.16 and 1.17 to Deposit Account No. 20-0531.
9. ☐ A copy of the Petition and Fee for Extension of Time for _____ months up to and including _____ which was filed for the immediately prior application is enclosed herewith.
10. a. ☐ Receipt for Facsimile Transmittal CPA (PTO/SB/29A).
- b. ☒ Return Receipt Postcard.
11. ☐ NO FEE IS ENCLOSED AND ANY AUTHORIZATION TO CHARGE THE DEPOSIT ACCOUNT IS EXPRESSLY REVOKED.

CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	Respectfully submitted,  Date: May 6, 2002 Reg. No. 47,411 Tel. No.: (617) 248-7102 Fax No.: (617) 248-7100 Michael J. Bastian Attorney for Applicant(s) Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110

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PATENT
Atty. Docket No. INK-046
(2108/42)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jacobson et al.

SERIAL NUMBER: Continued Prosecution ART UNIT: 1762
Application of 09/349,806

FILING DATE: July 8, 1999 EXAMINER: B. Talbot

TITLE: METHODS FOR ACHIEVING IMPROVED COLOR IN
MICROENCAPSULATED ELECTROPHORETIC DEVICES

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Commissioner for Patents
Washington, D.C. 20231

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PRELIMINARY REMARKS

Sir:

In the interest of advancing the present continued prosecution application, Applicants submit these Preliminary Remarks with regard to the Office Action mailed from the United States Patent and Trademark Office on February 4, 2002, ("the February 4th Office Action") for prior application U.S.S.N. 09/349,806. Applicants believe that no fee is due with these Preliminary Remarks, but the Commissioner is hereby authorized to charge any required fees to Deposit Account No. 20-0531.